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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 619.745

First Inventor or Application Identifier Jerald C. Seelig et al.

Title GAMING DEVICE AND METHOD

Express Mail Label No. EV 258467548 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 31]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity ☐ Statement filed in prior application
Statement(s) ☐ Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/927,245

Prior application information: Examiner Kim Nguyen Group / Art Unit: 3713

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

21,707

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	Ryan A. Heck	Registration No. (Attorney/Agent)	51,795
Signature		Date	7-18-2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 615.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jerald C. Seelig
Examiner Name	
Group / Art Unit	
Attorney Docket No.	619.745

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50,0913**

Deposit Account Name **Ian F. Burns & Associates**

☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:
☐ Check ☐ Money Order ☒ Other

FEE CALCULATION

1. BASIC FILING FEE							
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid		
		101	690	201 345	Utility filing fee	375.00	
		106	310	206 155	Design filing fee		
		107	480	207 240	Plant filing fee		
		108	690	208 345	Reissue filing fee		
		114	150	214 75	Provisional filing fee		
SUBTOTAL (1)					(\$ 375.00		

2. EXTRA CLAIM FEES							
Total Claims	Extra Claims	Fee from below	Fee Paid				
42	-20** = 22	X 9.00	= 198.00				
Independent Claims	4	- 3** = 1	X 42.00	= 42.00			
Multiple Dependent							

**or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
			103	18	203 9	Claims in excess of 20	
			102	78	202 39	Independent claims in excess of 3	
			104	260	204 130	Multiple dependent claim, if not paid	
			109	78	209 39	** Reissue independent claims over original patent	
			110	18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 240.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES							
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid		
		105	130	205 65	Surcharge - late filing fee or oath	0.00	
		127	50	227 25	Surcharge - late provisional filing fee or cover sheet.		
		139	130	139 130	Non-English specification	0.00	
		147	2,520	147 2,520	For filing a request for reexamination	0.00	
		112	920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00	
		113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00	
		115	110	215 55	Extension for reply within first month	0.00	
		116	380	216 190	Extension for reply within second month	0.00	
		117	870	217 435	Extension for reply within third month	0.00	
		118	1,360	218 680	Extension for reply within fourth month	0.00	
		128	1,850	228 925	Extension for reply within fifth month	0.00	
		119	300	219 150	Notice of Appeal	0.00	
		120	300	220 150	Filing a brief in support of an appeal	0.00	
		121	260	221 130	Request for oral hearing	0.00	
		138	1,510	138 1,510	Petition to institute a public use proceeding	0.00	
		140	110	240 55	Petition to revive - unavoidable	0.00	
		141	1,210	241 605	Petition to revive - unintentional	0.00	
		142	1,210	242 605	Utility issue fee (or reissue)		
		143	430	243 215	Design issue fee		
		144	580	244 290	Plant issue fee		
		122	130	122 130	Petitions to the Commissioner	0.00	
		123	50	123 50	Petitions related to provisional applications		
		126	240	126 240	Submission of Information Disclosure Stmt	0.00	
		581	40	581 40	Recording each patent assignment per property (times number of properties)	0.00	
		146	690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	
		149	690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	
Other fee (specify)						0.00	
Other fee (specify)						0.00	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

SUBMITTED BY

Name (Print/Type)	Ryan A. Heck	Registration No. (Attorney/Agent)	51,795	Telephone	775-826-6160
Signature				Date	7-18-2003

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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07/18/03
17698
PTO

IAN F. BURNS & ASSOCIATES, P.C.

Intellectual Property Law

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*Admitted in U.S. Patent and Trademark Office
†Admitted in California, Hawaii & Nevada
^Admitted in Texas & Nevada

July 18, 2003

Mail Stop UTILITY PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In re application of: Jerald C. Seelig et al.

Title: GAMING DEVICE AND METHOD

Attorney docket number: 619.745 ACC.CIP-Little Green Men, Jr.

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. A Continuation-in-part Utility Patent Application (31 pages).
2. Seven (7) sheets of drawings.
3. A Utility Patent Application Transmittal (1 page).
4. A Declaration for Utility Patent Application (1 page).
5. A Fee Transmittal (1 page).
6. A Credit Card Payment Form (1 page).
7. A return receipt postcard.

Cordially yours,

Ryan A. Heck

(Registration Number: 51,795)

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

☐ **MAILING**
Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐ **FACSIMILE**
Transmitted by facsimile to the Patent and Trademark Office.

Fax No.: _____

Signature

Name

Date